

COVER PAGE

A PUBLIC DOCUMENT

Please type or print in ink.

NAME OF FILER (LAST) (FIRST) (MIDDLE)
O'Toole Dave Joseph

1. Office, Agency, or Court

Agency Name (Do not use acronyms)
California State Controller's Office
Division, Board, Department, District, if applicable Your Position
Executive Chief Operating Officer

► If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: Pooled Money Investment Board Position: Controller-Designated Board Member

2. Jurisdiction of Office (Check at least one box)

State Judge or Court Commissioner (Statewide Jurisdiction)
 Multi-County _____ County of _____
 City of _____ Other _____

3. Type of Statement (Check at least one box)

Annual: The period covered is January 1, 2018, through December 31, 2018.
-or- The period covered is ____/____/____, through December 31, 2018.
 Assuming Office: Date assumed 11 / 04 / 2019
 Leaving Office: Date Left ____/____/____ (Check one circle.)
 The period covered is January 1, 2018, through the date of leaving office.
-or-
 The period covered is ____/____/____, through the date of leaving office.
 Candidate: Date of Election _____ and office sought, if different than Part 1: _____

4. Schedule Summary (must complete) ► Total number of pages including this cover page: _____

Schedules attached

Schedule A-1 - Investments - schedule attached Schedule C - Income, Loans, & Business Positions - schedule attached
 Schedule A-2 - Investments - schedule attached Schedule D - Income - Gifts - schedule attached
 Schedule B - Real Property - schedule attached Schedule E - Income - Gifts - Travel Payments - schedule attached

-or- None - No reportable interests on any schedule

5. Verification

MAILING ADDRESS STREET CITY STATE ZIP CODE
(Business or Agency Address Recommended - Public Document)
300 Capitol Mall, Suite 1850 Sacramento CA 95814
DAYTIME TELEPHONE NUMBER EMAIL ADDRESS
()

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed December 20, 2019 Signature _____
(month, day, year) (file the originally signed paper statement with your filing official.)

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Investments must be itemized.

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION
Name

NAME OF BUSINESS ENTITY: USAA
GENERAL DESCRIPTION OF THIS BUSINESS: Investment - Money Market
FAIR MARKET VALUE: [X] \$2,000 - \$10,000
NATURE OF INVESTMENT: [X] Other Money Market

NAME OF BUSINESS ENTITY: State of California Savings Plus
GENERAL DESCRIPTION OF THIS BUSINESS: Retirement Savings
FAIR MARKET VALUE: [X] \$100,001 - \$1,000,000
NATURE OF INVESTMENT: [X] Other 401k

NAME OF BUSINESS ENTITY: Fidelity Investments
GENERAL DESCRIPTION OF THIS BUSINESS: IRA & 529 investments
FAIR MARKET VALUE: [X] \$10,001 - \$100,000
NATURE OF INVESTMENT: [X] Other IRA & 529

NAME OF BUSINESS ENTITY: Vanguard
GENERAL DESCRIPTION OF THIS BUSINESS: Retirement Investment
FAIR MARKET VALUE: [X] \$10,001 - \$100,000
NATURE OF INVESTMENT: [X] Other IRA

NAME OF BUSINESS ENTITY: Union Pacific Railroad
GENERAL DESCRIPTION OF THIS BUSINESS: Railroad
FAIR MARKET VALUE: [X] \$2,000 - \$10,000
NATURE OF INVESTMENT: [X] Stock Railroad

NAME OF BUSINESS ENTITY: American Funds
GENERAL DESCRIPTION OF THIS BUSINESS: Retirement and 529 investments
FAIR MARKET VALUE: [X] \$10,001 - \$100,000
NATURE OF INVESTMENT: [X] Other IRA & 529

Comments:

